JAN 0 2 2002 B



Sectors

PATENT 450100-03344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Hiromasa SHIBATA et al.

Serial No.

09/905,049

Filed

: July 13, 2001

For

AV SIGNAL PROCESSING APPARATUS AND METHOD AS

WELL AS RECORDING MEDIUM

Art Unit

2621

745 Fifth Avenue

New York, New York 10151

Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on October 24, 2001

Name of Applicant, Assignee or Registered Appresentative

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents Attn: Box Missing Parts Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File Missing Parts of Application" (form PTO-1533) dated August 27, 2001 on the above application, a Declaration duly signed by the inventors, and a check in the amount of the required \$130.00 surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

PATENT 450100-03344

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

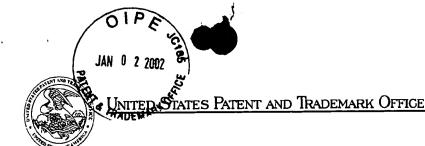
FROMMER LAWRENCE & HAUG LLP Attorneys for Applicants

Bv:

ordon Kessle

Tel. (212) 588-0800

Enclosure







COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/905.049

07/13/2001

Hiromasa Shibata

450100-03344

CONFIRMATION NO. 3460

FORMALITIES LETTER

OC000000006478528

William S. Frommer, Esq. FROMMER LAWRENCE & HAUG LLP 745 Fifth Avenue New York, NY 10151

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/07/2002 HMDHANM1 00000058 09905049

01 FC:105

130.00 OP